

ONLINE APPENDICES FOR:
ILLUSIONS OF AUTONOMY:
Why Europe Cannot Provide for Its Own Security Even if the United States Pulls Back
by
Hugo Meijer and Stephen G. Brooks
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Table of Contents:

- *Appendix A* – Page 1
87 Official Documents and Reports Consulted for Coding Threat Assessments
- *Appendix B* – Page 5
Chapters of *The Handbook of European Defence Policies and Armed Forces* Consulted for Coding Threat Assessments
- *Appendix C* – Page 6
Main Battle Tank (MBT), Armored Personnel Carriers (APC), Artillery (ARTY), Active Army Troops, Total Reserve Troops and Army Reserve Troops from 1990 to 2020, for Large, Medium and Small European powers
- *Appendix D* – Page 13
Russia versus 4 European Coalitions' Conventional Defense Capabilities in Selected Areas: Main Battle Tanks (MBT), Armored Personnel Carriers (APC), Artillery, Total Active Troops, Total Reserve Troops
- *Appendix E* – Page 19
Selected Scholarly Works on European Defense Interests and Capabilities
- *Appendix F* – Page 20
U.S. Troop Presence in Europe, 1953–2019
- *Appendix G* – Page 21
Selected Scholarly Works Advocating for Restraint

APPENDIX A

87 Official Documents and Reports Consulted for Coding Threat Assessments

Document #	Document Title
1	Austrian Federal Chancellery, <i>Austrian Security Strategy: Security in a New Decade—Shaping Security</i> , 2013.
2	Johann Frank and Gustav Gustenau, “Österreichs Sicherheitspolitik in Zeiten zunehmender Unsicherheiten,” in Austrian Ministry of Defense, <i>Sicher. Und morgen? Sicherheitspolitische Jahresvorschau</i> , 2020.
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12	Danish Government, <i>Foreign and Security Policy Strategy 2017-2018</i> , 2017.
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14	Dutch Government, <i>National Counterterrorism Strategy for 2016-2020</i> , 2016.
15	Dutch Ministry of Defense, <i>Defense White Paper</i> , 2018.
16	Dutch Ministry of Foreign Affairs, <i>An Integrated International Security Strategy 2018-2022</i> , 2018.
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18	Estonian Government, <i>The National Security Concept of the Republic of Estonia</i> , 2010.
19	Estonian Ministry of Defense, <i>National Defence Development Plan 2017–2026</i>
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25	French Ministry for the Armed Forces, <i>Defence and National Security Strategic Review</i> , 2017.
26	French Ministry for the Armed Forces, <i>Actualisation stratégique</i> , 2021.
27	French Ministry of Defense, <i>French White Paper on Defence and National Security</i> , 2013.
28	French Secretariat-General for National Defense and Security, <i>Tackling Terrorism Together. Vigilance, Prevention and Protection Against the Terrorist Threat</i> , 2016.
29	German Federal Government, <i>Preventing Crises, Resolving Conflicts, Building Peace</i> , 2017.
30	German Federal Government, <i>White Paper on German Security Policy and the Future of the Bundeswehr</i> , 2016.
31	German Federal Ministry of Economic Cooperation and Development, <i>Development Policy as Future-Oriented Peace Policy</i> , 2017.
32	German Ministry of Defense, <i>Defense Policy Guidelines</i> , 2011.
33	German Ministry of Defense, <i>White Paper 2006</i> , 2006.
34	Hungarian Ministry of Foreign Affairs, <i>National Security Strategy</i> , 2012.
35	Hungarian Ministry of National Defense, <i>National Military Strategy</i> , 2012.
36	Irish Department of Defense and Armed Forces, <i>Strategy Statement 2011-2014</i> , 2011.
37	Irish Department of Defense and Armed Forces, <i>White Paper on Defense</i> , 2015.
38	Irish Department of Defense and Defense Forces, <i>Annual Report</i> , 2017.
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43	Italian Ministry of Defense, <i>White Book on International Security and Defense</i> , 2015.
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47	Luxemburgish Government, <i>National Cybersecurity Strategy</i> , 2018.
48	Luxemburgish Ministry of Foreign and European Affairs, <i>Luxembourg Defense Guidelines for 2025 and Beyond</i> , 2017.
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50	National Assembly of the Republic of Bulgaria, <i>National Security Strategy of the Republic of Bulgaria</i> , 2011.
51	Norwegian Armed Forces, <i>A Stronger Defence: The Military Advice of the Chief of Defence</i> , 2019.
52	Norwegian Armed Forces, <i>Strategic and Defense Review 2015</i> , 2015.

53	Norwegian Intelligence Service, <i>Assessment of Current Security Challenges</i> , 2018.
54	Norwegian Ministry of Defense, <i>Capable and Sustainable: Long Term Defence Plan</i> , 2016.
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56	Norwegian Police Security Service, <i>Threat Assessment 2017</i> , 2017.
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59	Polish Ministry of National Defense, <i>The Defense Concept of the Republic of Poland 2017</i> .
60	Portuguese Government, <i>Conceito Estratégico de Defesa Nacional</i> , 2013.
61	Portuguese Government, <i>National Cybersecurity Strategy</i> , 2015.
62	Romanian Ministry of National Defense, <i>The Military Strategy of Romania</i> , 2016.
63	Romanian Presidential Administration, <i>National Defense Strategy 2015-2019</i> , 2015.
64	Serbian Government, <i>National Security Strategy of the Republic of Serbia</i> , 2009.
65	Serbian Ministry of Defense, <i>Defense Strategy of the Republic of Serbia</i> , 2009.
66	Serbian Ministry of Defense, <i>Strategy of Defence of the Republic of Serbia</i> , 2019.
67	Serbian Ministry of Defense, <i>White Paper on Defense</i> , 2010.
68	Slovak Ministry of Defense, <i>White Paper on Defense</i> , 2016.
69	Slovenian Government, <i>Resolution on the National Security Strategy of the Republic of Slovenia</i> , 2010.
70	Slovenian Ministry of Defense, <i>Annual Report of the Ministry of Defence for 2016</i> , 2017.
71	Slovenian Ministry of Defense, <i>Annual Report of the Ministry of Defence for 2017</i> , 2018.
72	Slovenian Ministry of Defense, <i>Resolution on General Long-Term Development and Equipping Programme of the Slovenian Armed Forces Up to 2025</i> , 2011.
73	Slovenian Ministry of Defense, <i>Resolution on the National Security Strategy of the Republic of Slovenia</i> , 2010.
74	Slovenian Ministry of Defense, <i>Strategic Defense Review</i> , 2016.
75	Spanish Government, <i>The National Security Strategy 2017</i> .
76	Swedish Defense Commission, <i>White Book on Sweden's Security Policy and the Development of the Military Defense 2021-2025</i> , 2019.
77	Swedish Government, <i>National Security Strategy</i> , 2017.
78	Swiss Federal Department of Finance, <i>National Strategy for the Protection of Switzerland Against Cyber Risk</i> , 2014.
79	Swiss Federal Department of Foreign Affairs, <i>Swiss Foreign Policy Strategy 2016-19</i> , 2016.
80	Swiss Federal Intelligence Service (FIS), <i>Switzerland's Security: Situation Report 2017 of the FIS</i> , 2017.
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83	UK Government, <i>The United Kingdom's Strategy for Countering Terrorism</i> , 2018.
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85	UK Intelligence and Security Committee of Parliament, <i>Russia</i> , House of Commons, 21 July 2020.
86	UK Ministry of Defense, <i>Global Strategic Trends: The Future Starts Today</i> , 2018.
87	UK Ministry of Defense, <i>Mobilising, Modernising and Transforming Defence: A Report of the Modernising Defence Programme</i> , 2018.

APPENDIX B
Chapters of *The Handbook of European Defence Policies and Armed Forces*
Consulted for Coding Threat Assessments

Source:

Hugo Meijer and Marco Wyss, eds., *The Handbook of European Defence Policies and Armed Forces* (Oxford: Oxford University Press, 2018).

Chapters:

Andersson, Jan Joel, "Finland and Sweden," in Meijer and Wyss, eds., *The Handbook of European Defence Policies and Armed Forces*, pp. 344-359.

Arteaga, Félix, "Spain and Portugal," in Meijer and Wyss, eds., *The Handbook of European Defence Policies and Armed Forces*, pp. 140-156.

Baev, Jordan, "Bulgaria and Romania," in Meijer and Wyss, eds., *The Handbook of European Defence Policies and Armed Forces*, pp. 263-278.

Chourchoulis, Dionysios, "Greece, Cyprus, and Albania," in Meijer and Wyss, eds., *The Handbook of European Defence Policies and Armed Forces*, pp. 313-329.

Coticchia, Fabrizio, "Italy," in Meijer and Wyss, eds., *The Handbook of European Defence Policies and Armed Forces*, pp. 109-124.

Dorman, Andrew, "The United Kingdom," in Meijer and Wyss, eds., *The Handbook of European Defence Policies and Armed Forces*, pp. 71-86.

Ejdus, Filip, "Serbia and Croatia," in Meijer and Wyss, eds., *The Handbook of European Defence Policies and Armed Forces*, pp. 297-312.

Hauser, Gunter and Mauro Mantovani, "Austria and Switzerland," in Meijer and Wyss, eds., *The Handbook of European Defence Policies and Armed Forces*, pp. 187-213.

Hedberg, Masha and Andres Kasekamp, "The Baltic States," in Meijer and Wyss, eds., *The Handbook of European Defence Policies and Armed Forces*, pp. 231-246.

Klinkert, Wim, "Benelux Countries," in Meijer and Wyss, eds., *The Handbook of European Defence Policies and Armed Forces*, pp. 247-262.

Kraft, Ina, "Germany," in Meijer and Wyss, eds., *The Handbook of European Defence Policies and Armed Forces*, pp. 52-70.

Michta, Andrew A., "Poland," in Meijer and Wyss, eds., *The Handbook of European Defence*, pp. 140-156.

Onderco, Michal, "Czech Republic, Hungary, and Slovakia," in Meijer and Wyss, eds., *The Handbook of European Defence Policies and Armed Forces*, pp. 279-288.

Petersson, Magnus, "Denmark and Norway," in Hugo Meijer and Marco Wyss, eds., *The Handbook of European Defence Policies and Armed Forces*, p. 363-364.

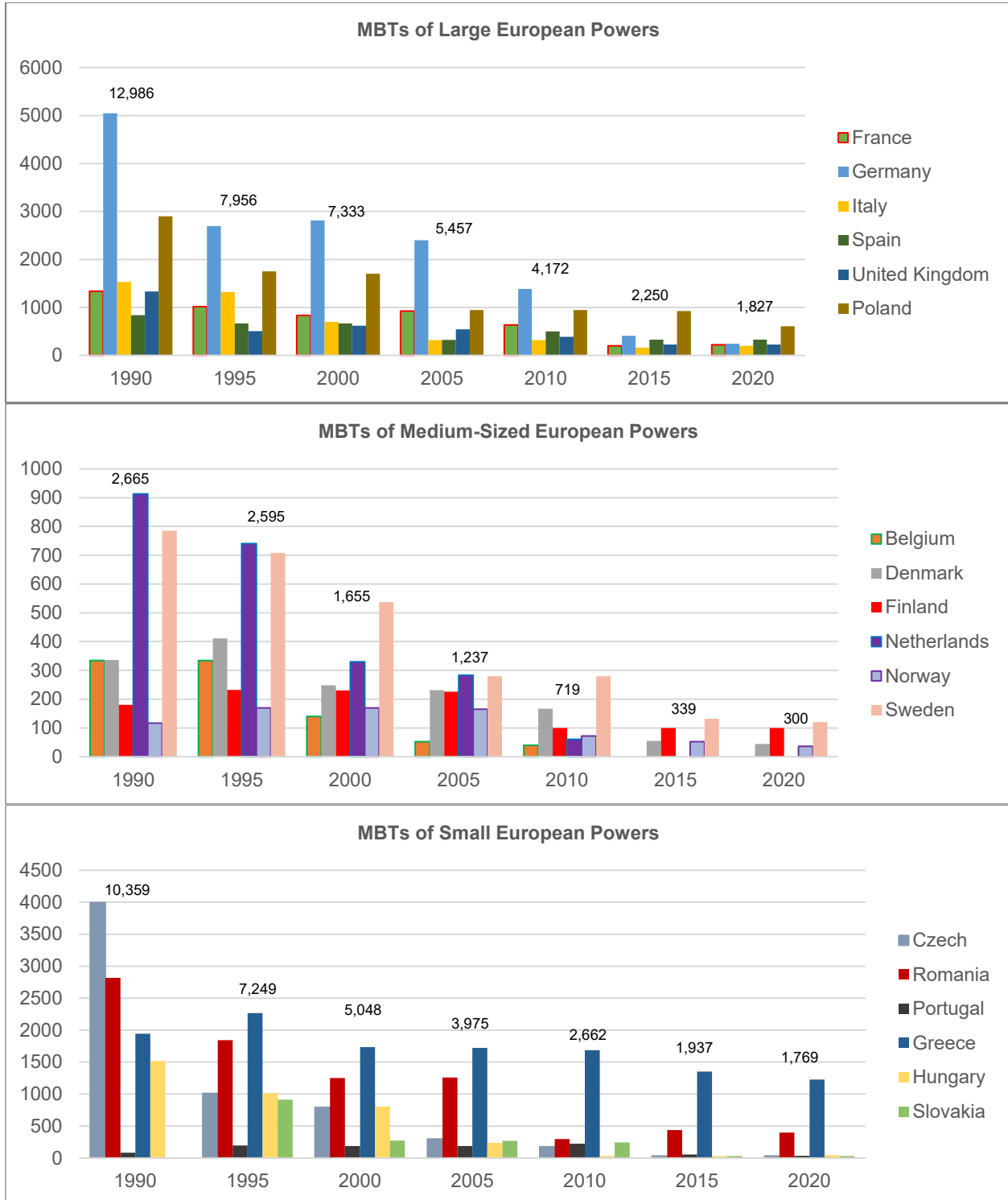
Schmitt, Olivier and Sten Rynning, "France," in Meijer and Wyss, eds., *The Handbook of European Defence Policies and Armed Forces*, pp. 35-51.

Speller, Ian, "Ireland," in Meijer and Wyss, eds., *The Handbook of European Defence Policies and Armed Forces*, pp. 330-343.

APPENDIX C

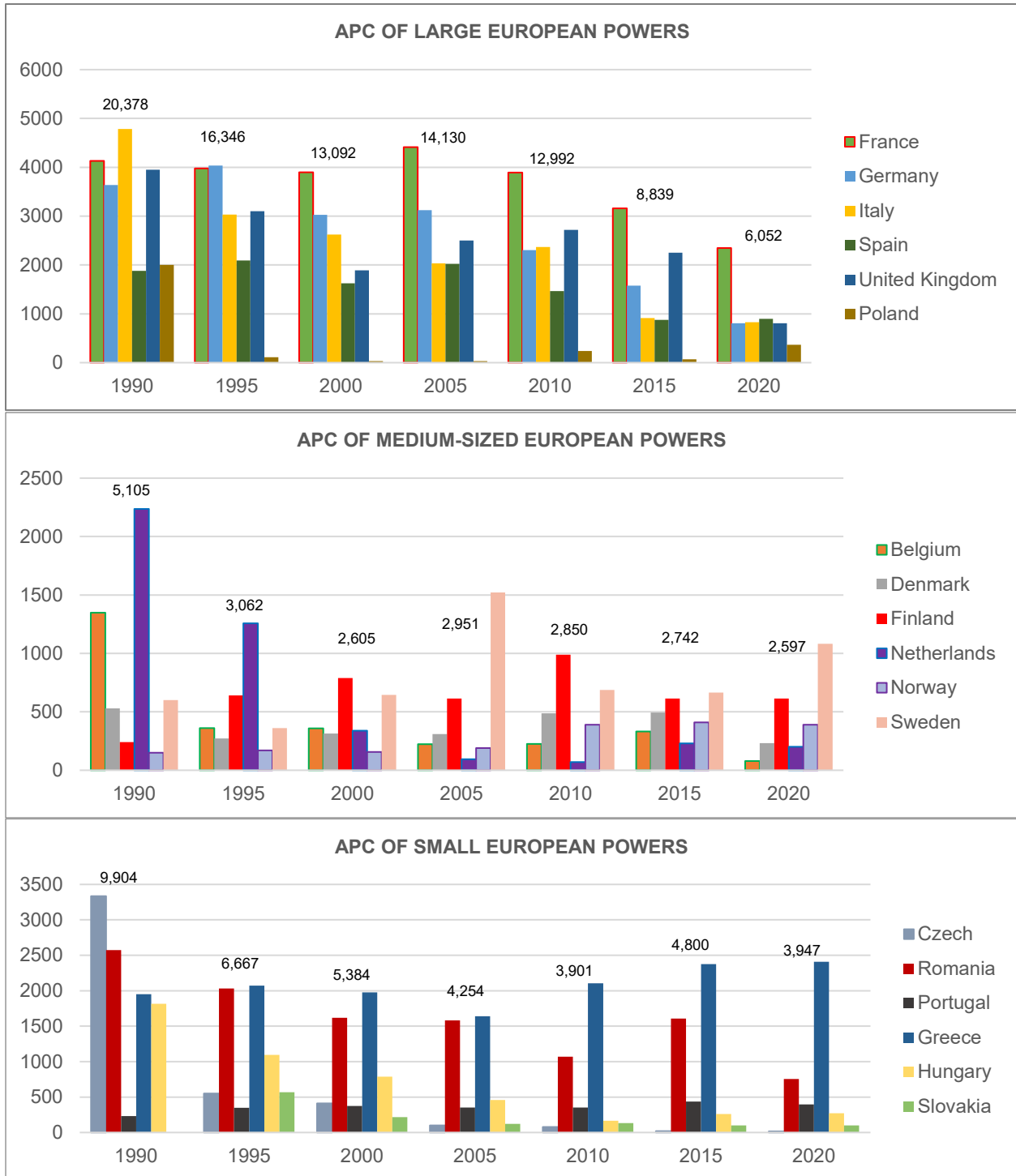
Main Battle Tank (MBT), Armored Personnel Carriers (APC), Artillery (ARTY), Total Active Troops, Active Army Troops, Total Reserve Troops and Army Reserve Troops from 1990 to 2020, for Large, Medium and Small European powers.

Main Battle Tank



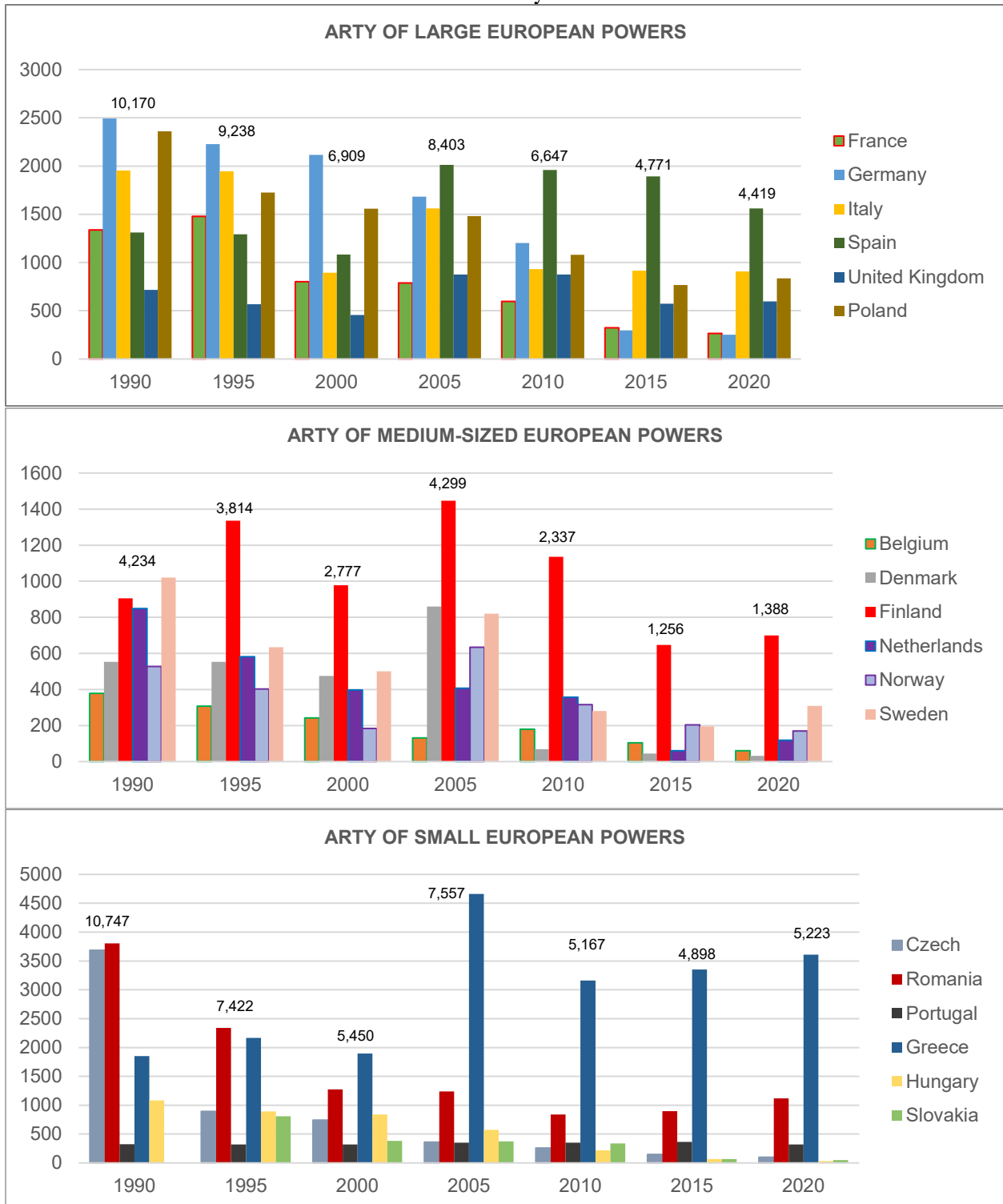
Source: International Institute for Strategic Studies, *Military Balance*, 1990–2020

Armored Personnel Carriers



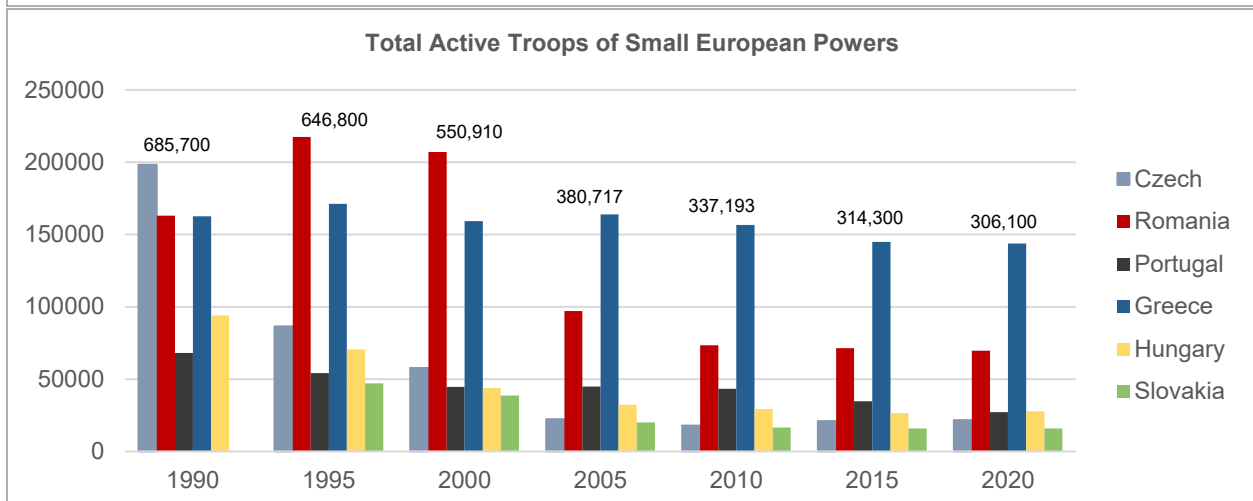
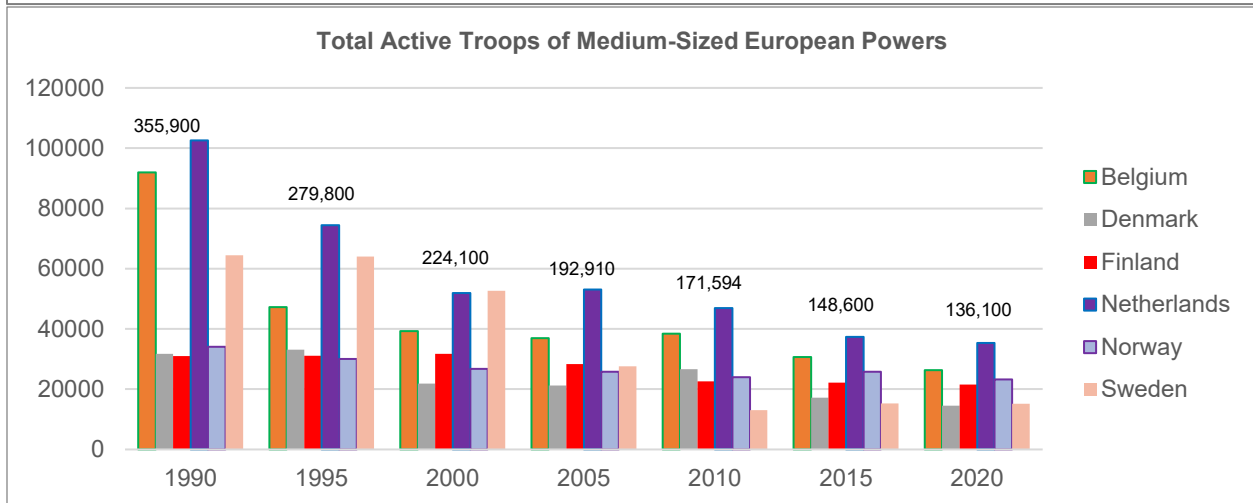
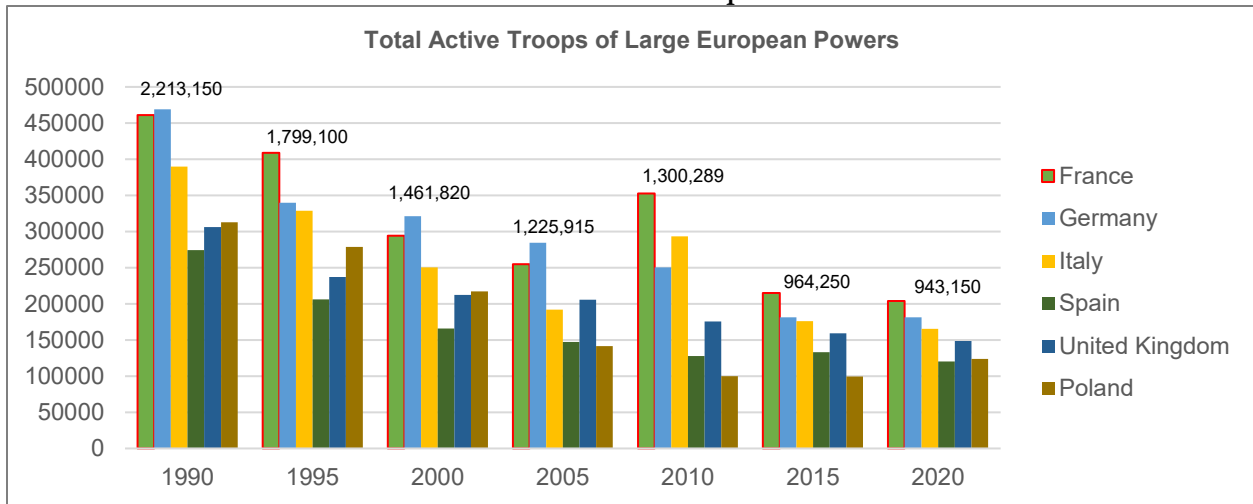
Source: International Institute for Strategic Studies, *Military Balance*, 1990-2020

Artillery



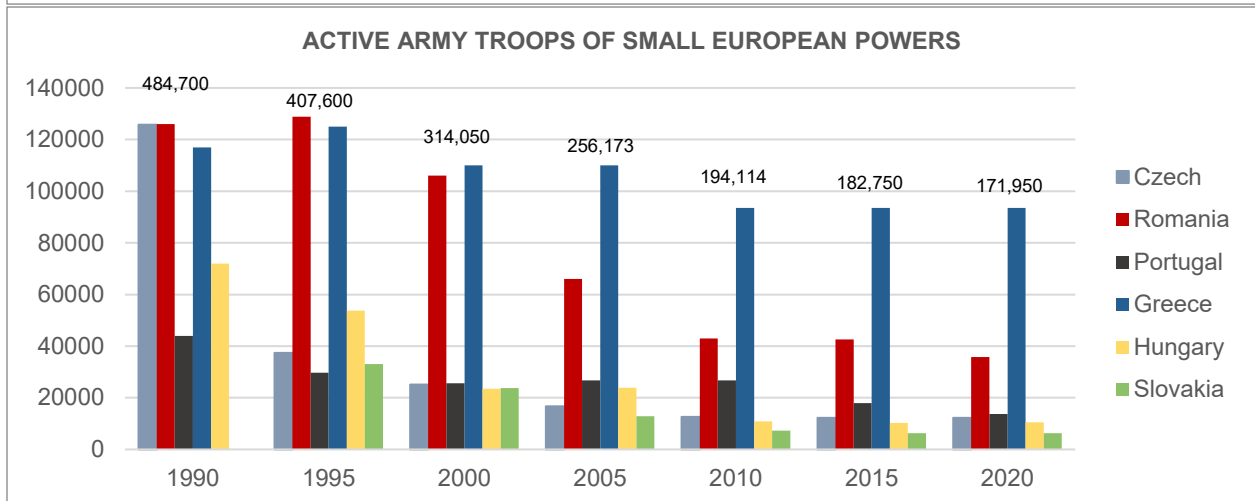
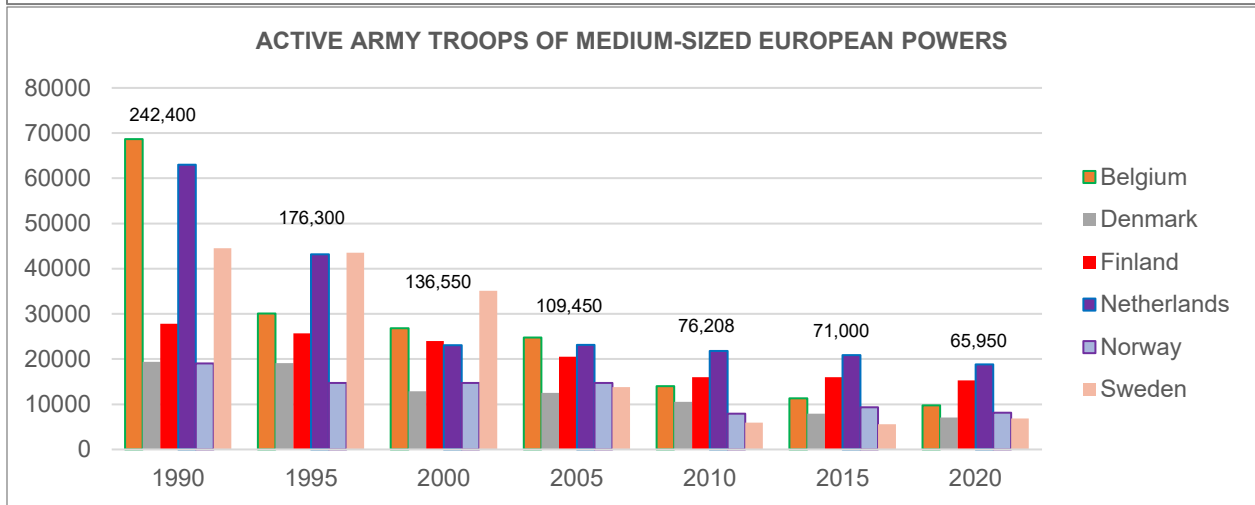
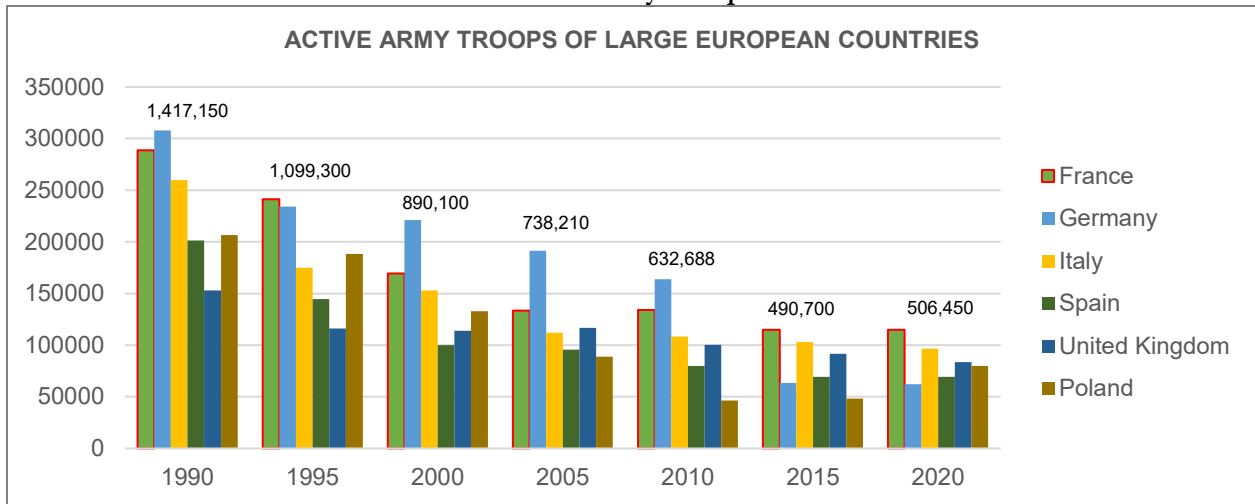
Source: International Institute for Strategic Studies, *Military Balance*, 1990-2020

Total Active Troops



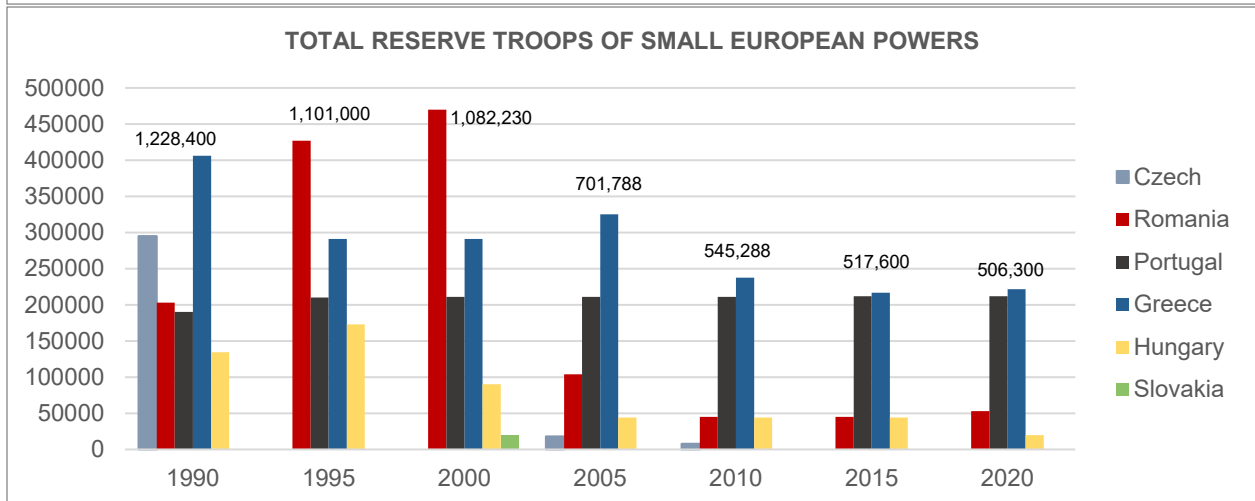
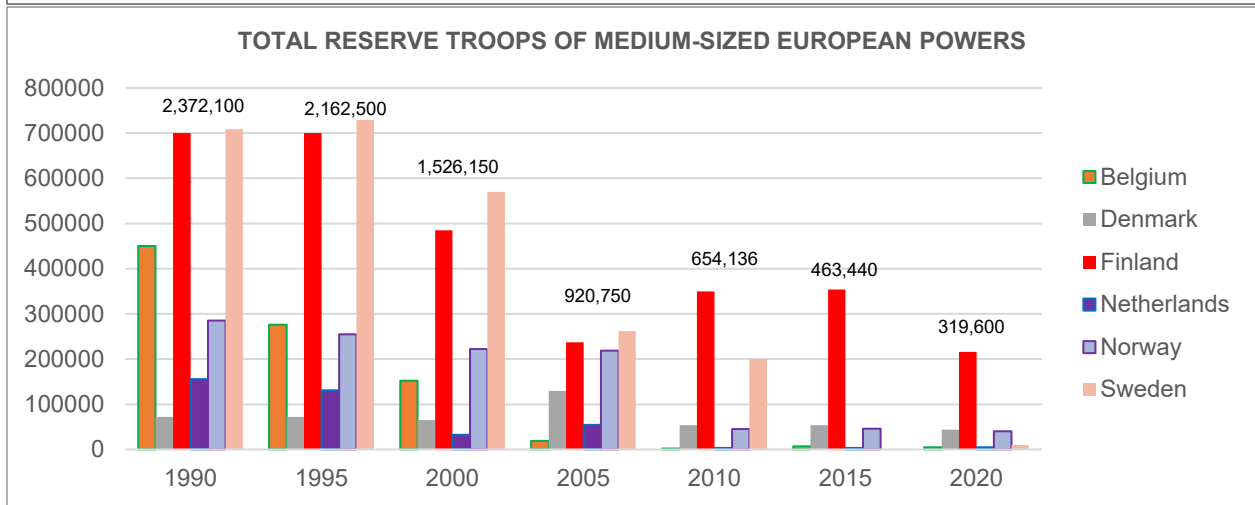
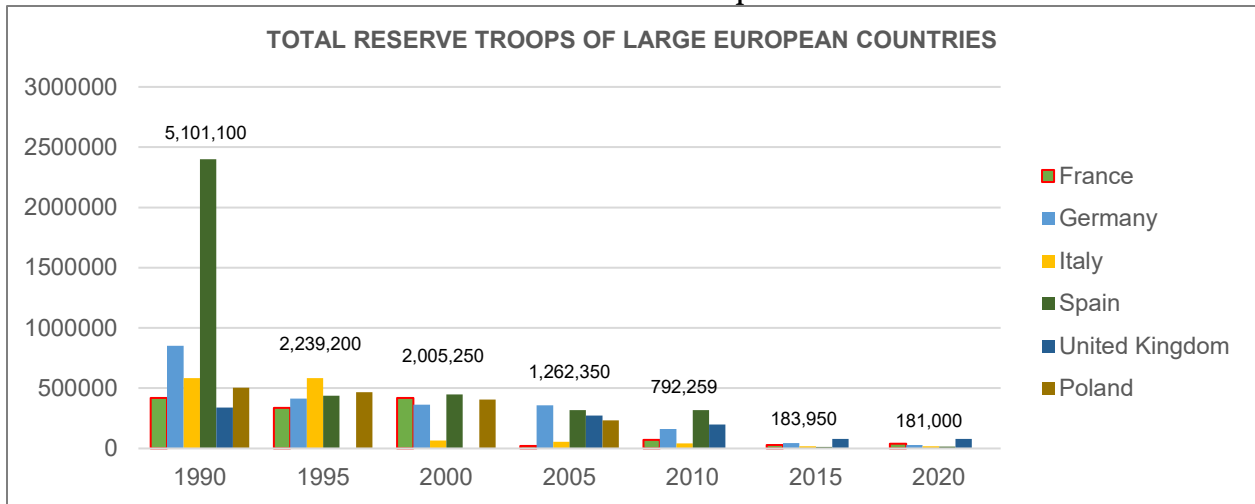
Source: International Institute for Strategic Studies, *Military Balance*, 1990-2020

Active Army Troops



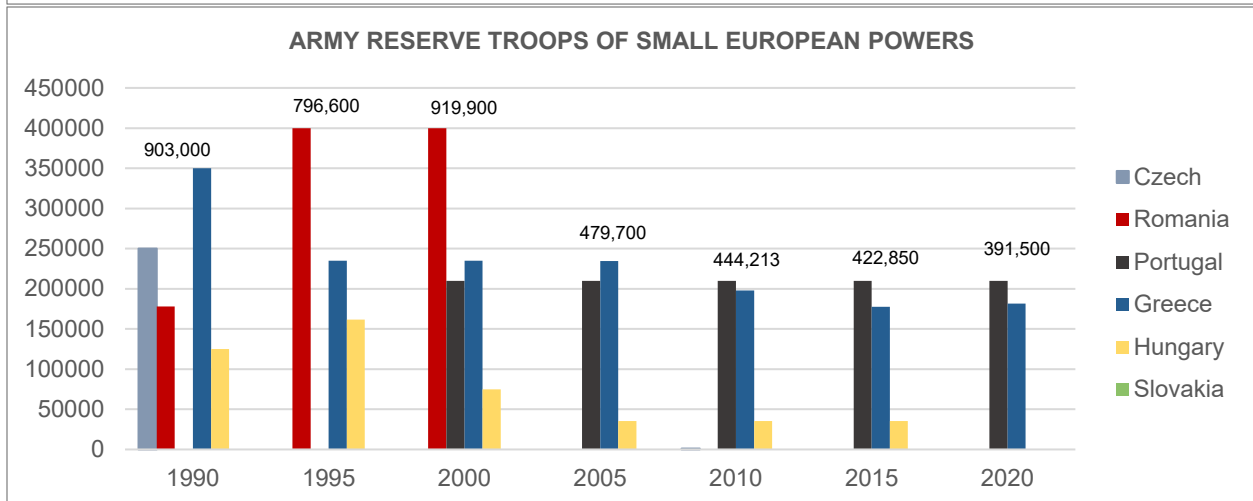
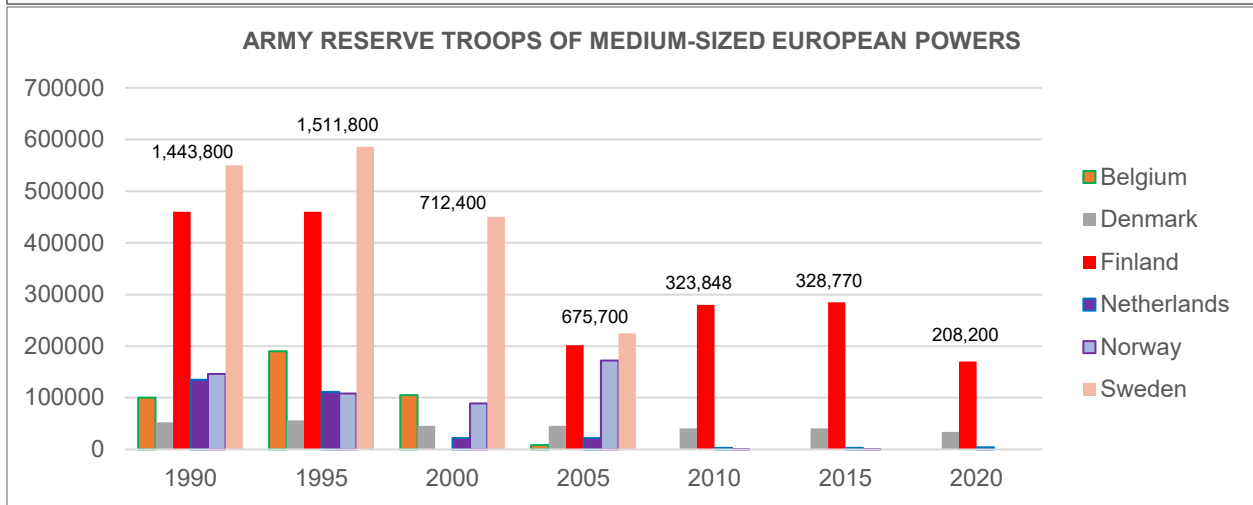
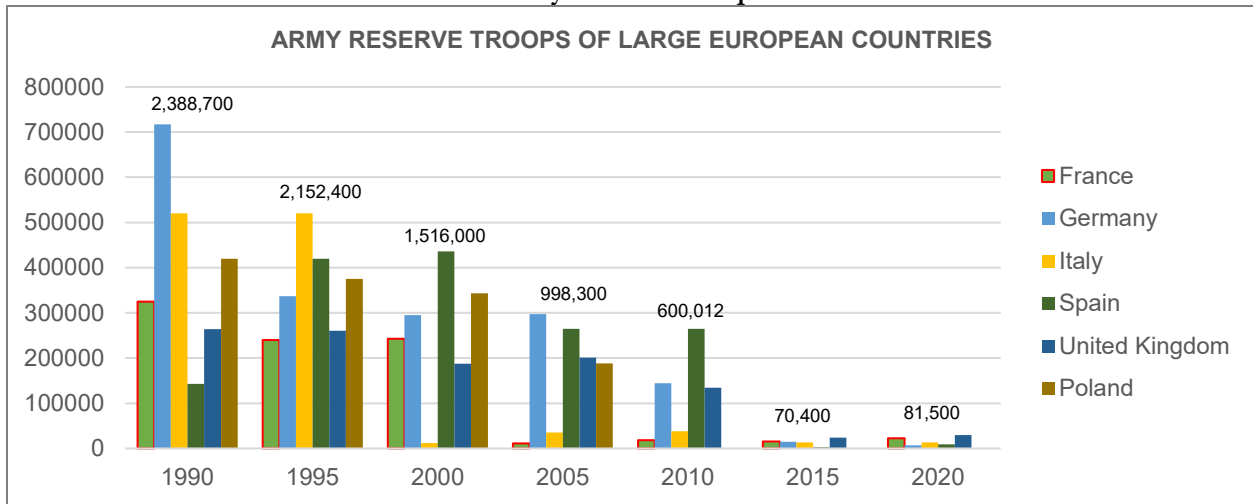
Source: International Institute for Strategic Studies, *Military Balance*, 1990-2020

Total Reserve Troops



Source: International Institute for Strategic Studies, *Military Balance*, 1990-2020

Army Reserve Troops



Source: International Institute for Strategic Studies, *Military Balance*, 1990-2020

APPENDIX D

Russia vs 4 European Coalitions' Conventional Defense Capabilities in Selected Areas: Main Battle Tanks (MBT), Advanced Personnel Carrier (APC), Artillery (ARTY), Total Active Troops, Total Reserve Troops

The next five figures compare Russia with four potential European balancing coalitions regarding the two overall measures of military personnel (total active and total reserves) as well as three core weapon systems for conventional warfare (main battle tanks, artillery and armored personnel carriers). These four potential European coalitions are derived from our threat assessments in Section 2.

Coalition 1:

This coalition includes all non-neutral countries in the left two columns of our threat assessment chart (that is, those European countries that either see Russia as the dominant threat by far or that see it as the highest threat, see Table 1). Specifically, the members of this coalition are as follows:

- Czech Republic
- Estonia
- Latvia
- Lithuania
- Poland
- Norway
- Romania
- Slovakia

Coalition 2:

This coalition is the exact same as Coalition 1 but also adds Germany, the large European power that most likely would come to see Russia as a higher threat if the US pulls back (and would thus move to the second column from the left on Table 1). Specifically, the members of this coalition are as follows:

- Czech Republic
- Estonia
- Germany
- Latvia
- Lithuania
- Poland
- Norway
- Romania
- Slovakia

Coalition 3:

This coalition includes the Coalition 1 countries as well as all countries from the middle column of our threat assessment chart (that is, those countries that see Russia and other threats as having roughly equal significance). Specifically, the members of this coalition are as follows:

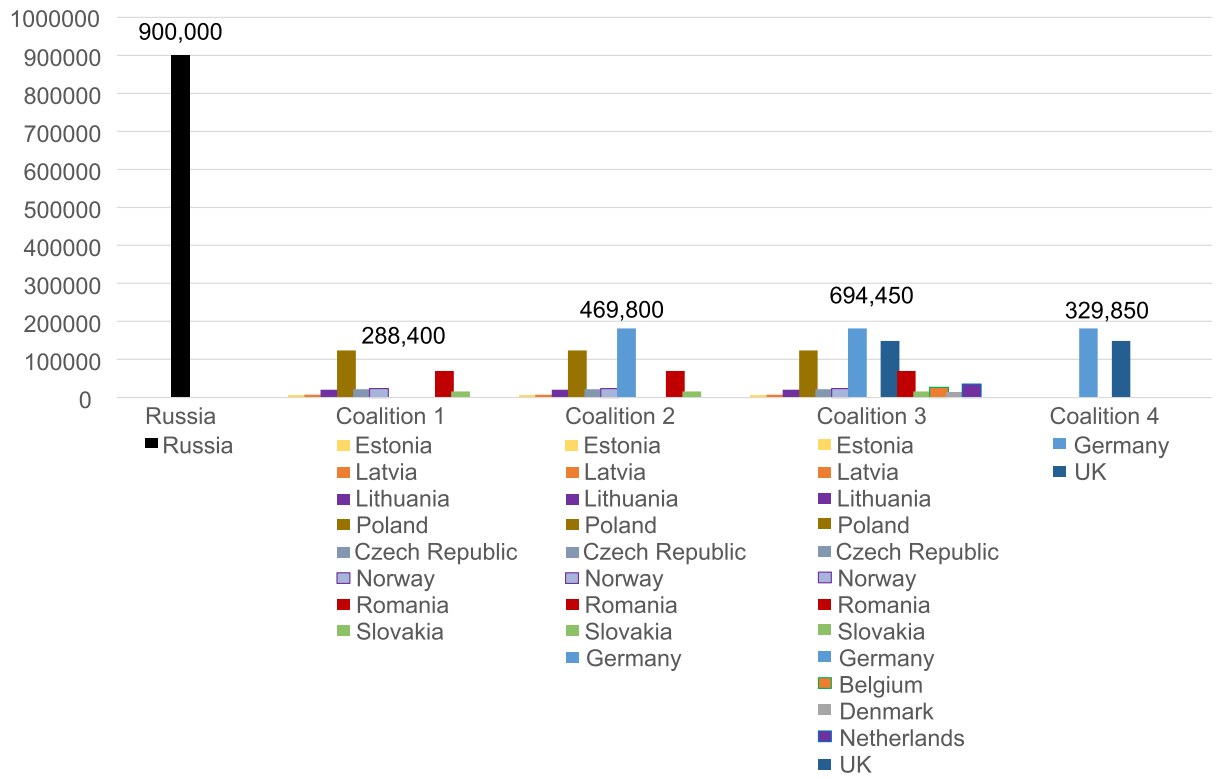
- Belgium
- Czech Republic
- Denmark
- Estonia
- Germany
- Latvia
- Lithuania
- Netherlands
- Poland
- Norway
- Romania
- Slovakia
- United Kingdom

Coalition 4:

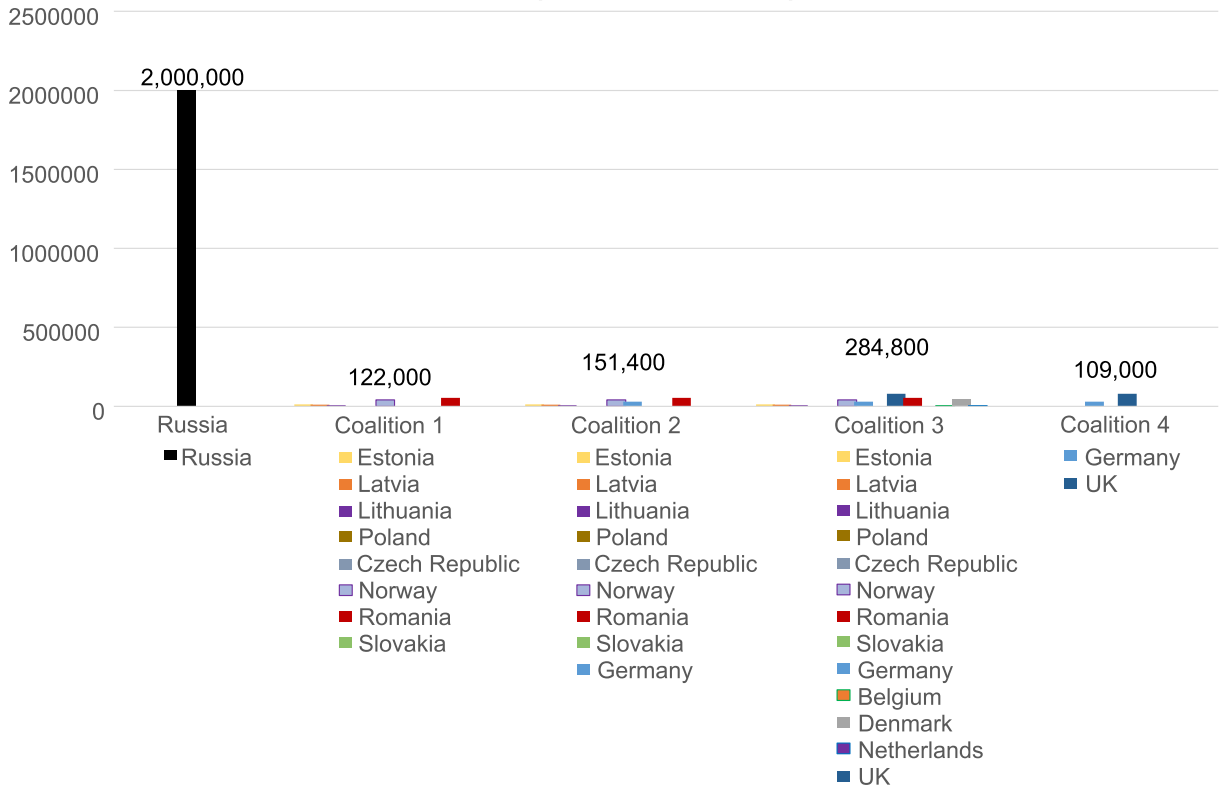
Reflecting Posen's contention that just two large European powers could balance Russia, Coalition 4 comprises the two large powers most likely to balance Russia. Specifically, the members of this coalition are as follows:

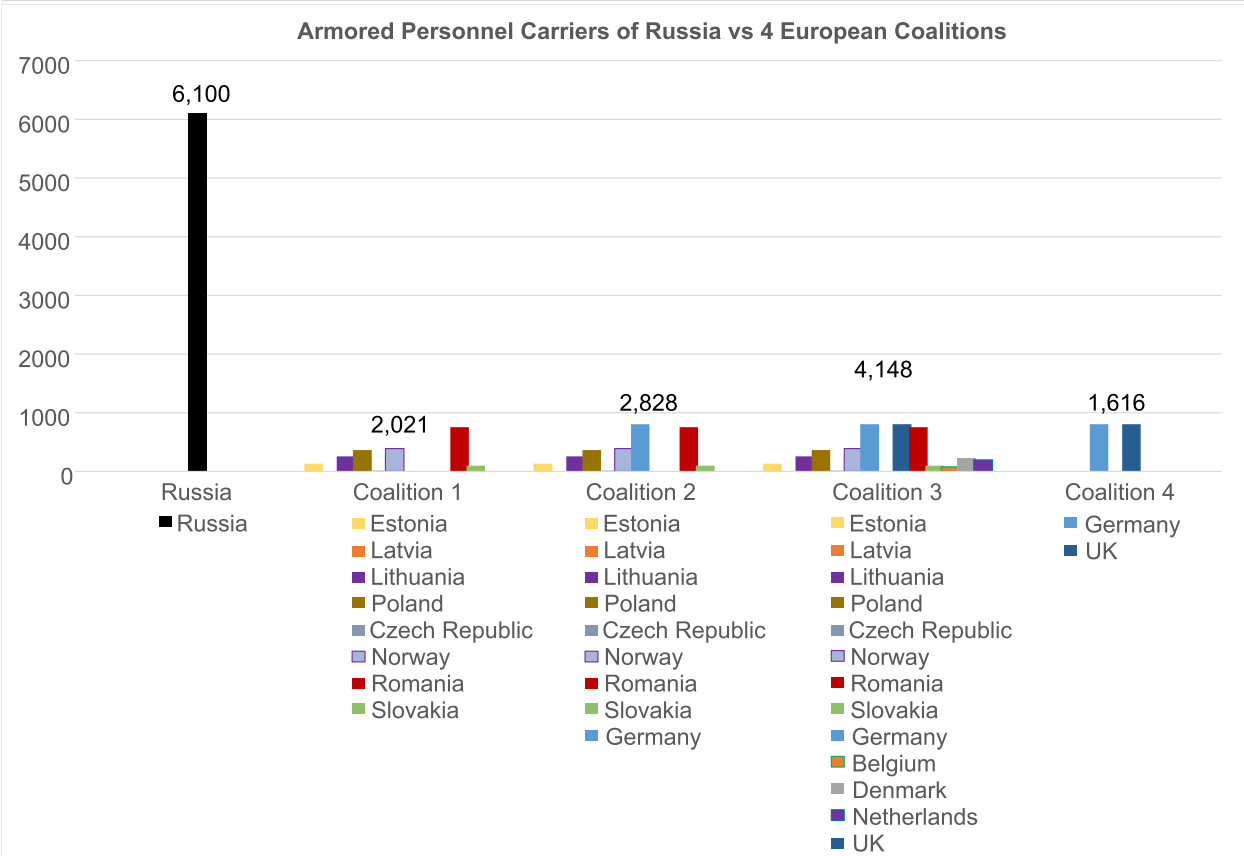
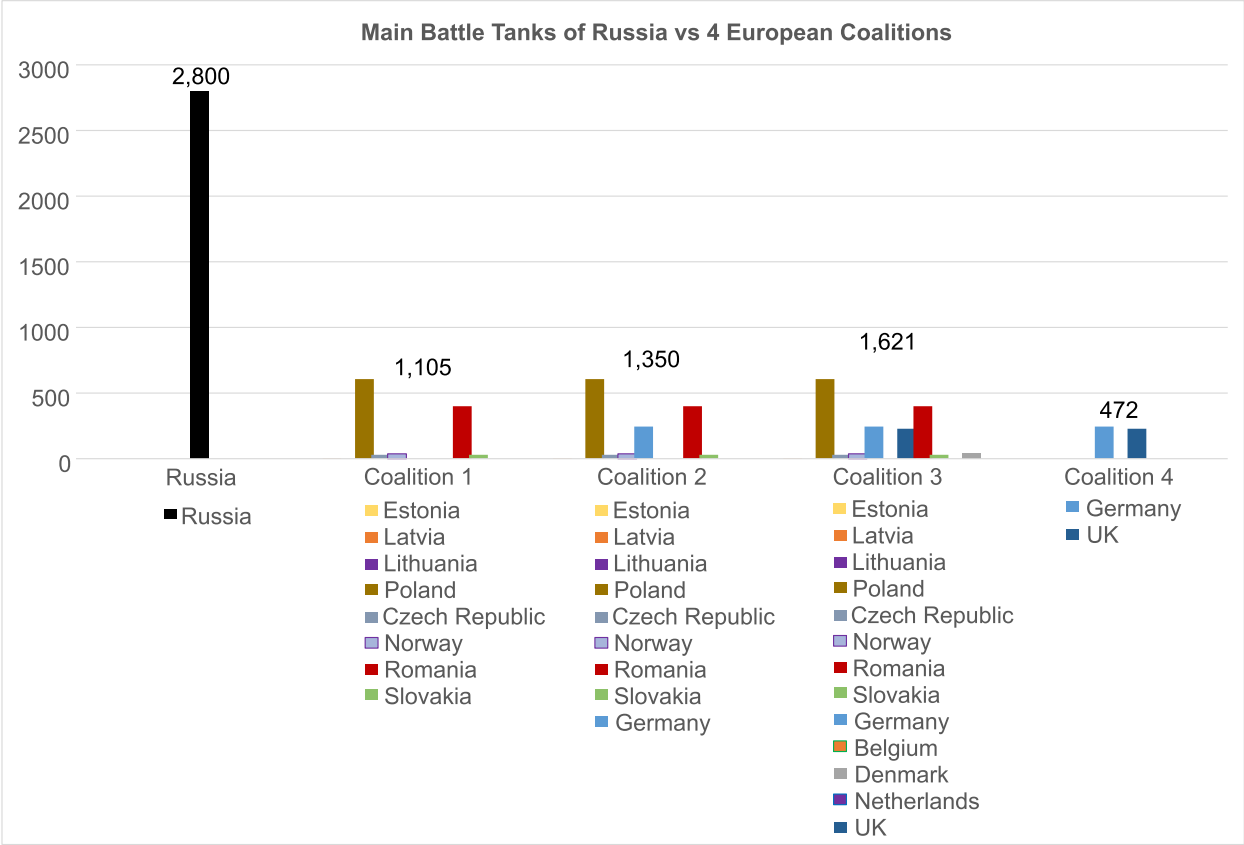
- Germany
- United Kingdom

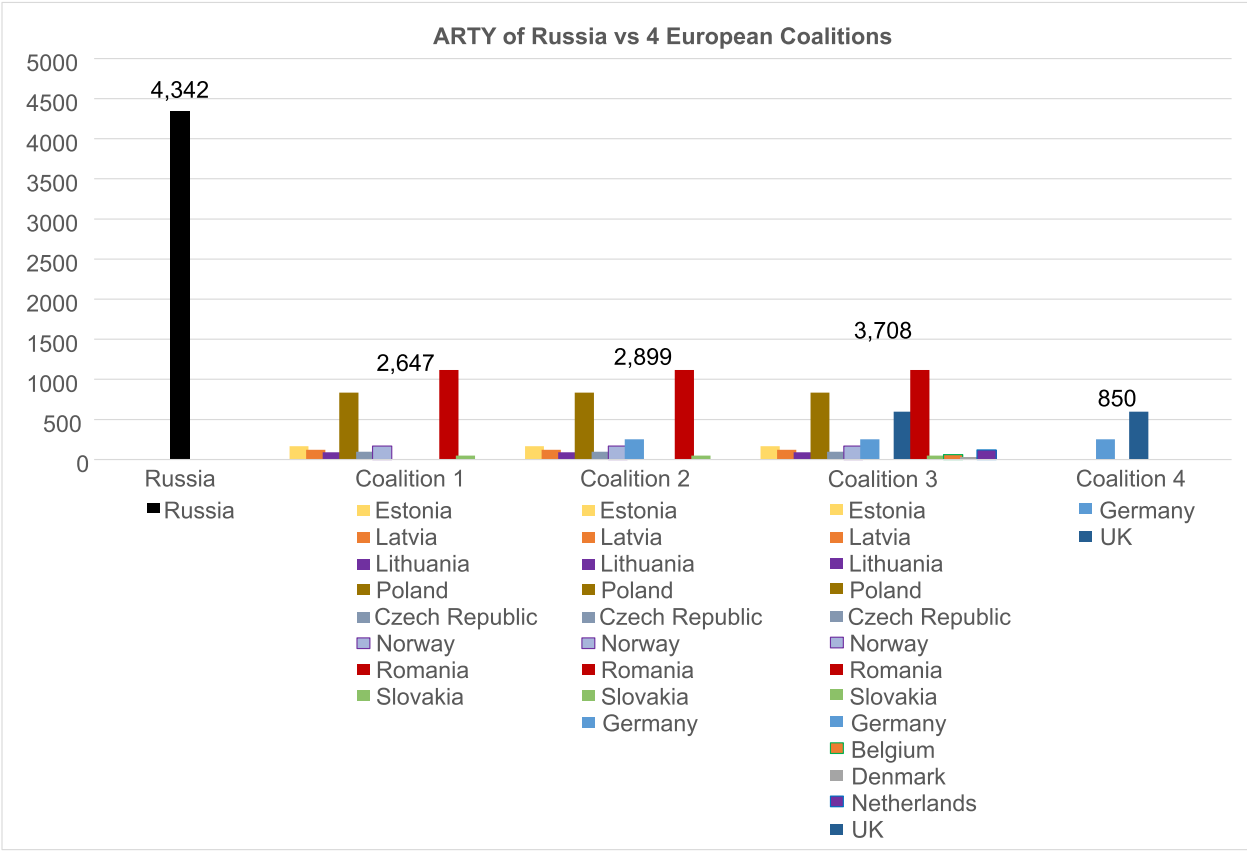
Total Active Troops of Russia vs 4 European Coalitions



Total Reserve Troops of Russia vs 4 European Coalitions





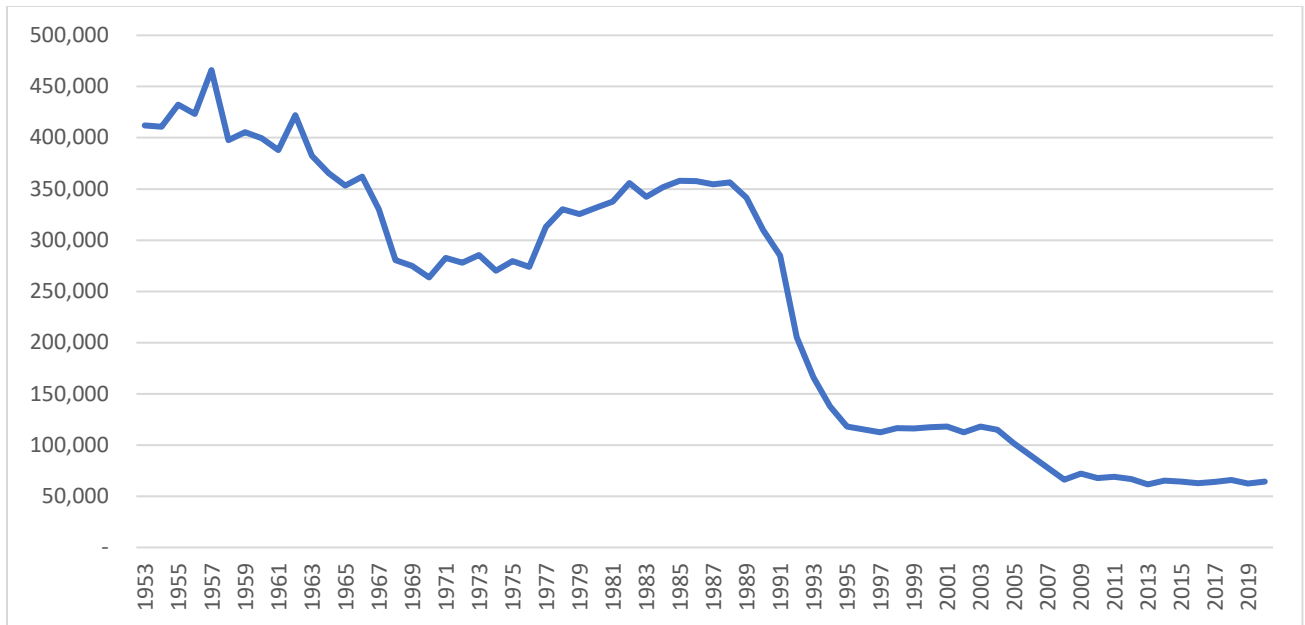


APPENDIX E

Selected Scholarly Works on European Defense Interests and Capabilities

- Barrie, Douglas et al., *Defending Europe: Scenario-Based Capability Requirements for NATO's European Members* (London: International Institute for Strategic Studies, 2019).
- Barrie, Douglas et al., *Protecting Europe: Meeting the EU's Military Level of Ambition in the Context of Brexit* (London: International Institute for Strategic Studies, 2019).
- Béraud-Sudreau, Lucie and Alice Pannier, "An 'Improbable Paris-Berlin-Commission Triangle': Usages of Europe and the Revival of EU Defense Cooperation after 2016," *Journal of European Integration* (2020), pp. 1-16.
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- DeVore, Marc R., "International Armaments Collaboration and the Limits of Reform," *Defense and Peace Economics*, Vol. 25, No. 4 (2014), pp. 415-443.
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- Terriff, Terry, Frans Osinga, and Theo Farrell, eds., *A Transformation Gap? American Innovations and European Military Change* (Stanford: Stanford University Press, 2010).

APPENDIX F
U.S. Troop Presence in Europe, 1953–2019



Source: Data retrieved from U.S. Department of Defense, available at https://www.dmdc.osd.mil/appi/dwp/dwp_reports.jsp

APPENDIX G

Selected Scholarly Works Advocating for Restraint

- Ashford, Emma M. "Better Balancing in the Middle East," in Trevor Thrall and Benjamin Friedman, eds., *US Grand Strategy in the 21st century: The Case for Restraint* (Routledge: 2018).
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